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WASHINGTON, D.C. 20231
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4/3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,372	07/09/2001	Sarah Black	SH-1

CONFIRMATION NO. 6590

27157
GREENWALD & BASCH, LLP
SUITE 2490
349 WEST COMMERCIAL STREET
EAST ROCHESTER, NY 14445

FORMALITIES LETTER



OC00000006478735

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/09/2002 AGOITOM 00000025 09901372

FILED UNDER 37 CFR 1.53(b)

D

01 FC#205

65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Sarah Black & Stuart Hameroff
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

A copy of this notice MUST be returned with the reply.

Docketed



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$65.00)

Complete if Known

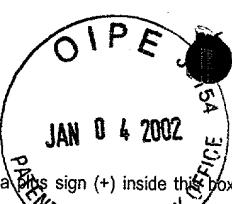
Application Number	09/901,372
Filing Date	7/9/2001
First Named Inventor	Stuart Hameroff
Examiner Name	
Group / Art Unit	1645
Attorney Docket No.	SH-1

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																													
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Deposit Account Number 50-1706		<table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190 Extension for reply within second month</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435 Extension for reply within third month</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150 Notice of Appeal</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130 Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215 Design issue fee</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345 Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345 For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2">SUBTOTAL (1) (\$ 0.00)</td> <td colspan="2">SUBTOTAL (3) (\$ 65.00)</td> </tr> <tr> <td colspan="4">* Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	139	130	139	130 Non-English specification	147	2,520	147	2,520 For filing a request for reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	115	110	215	55 Extension for reply within first month	116	380	216	190 Extension for reply within second month	117	870	217	435 Extension for reply within third month	118	1,360	218	680 Extension for reply within fourth month	128	1,850	228	925 Extension for reply within fifth month	119	300	219	150 Notice of Appeal	120	300	220	150 Filing a brief in support of an appeal	121	260	221	130 Request for oral hearing	138	1,510	138	1,510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidable	141	1,210	241	605 Petition to revive - unintentional	142	1,210	242	605 Utility issue fee (or reissue)	143	430	243	215 Design issue fee	144	580	244	290 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	240	126	240 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	690	246	345 Filing a submission after final rejection (37 CFR § 1.129(a))	149	690	249	345 For each additional invention to be examined (37 CFR § 1.129(b))	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$ 0.00)		SUBTOTAL (3) (\$ 65.00)		* Reduced by Basic Filing Fee Paid			
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Name (Print/Type)	Howard J. Greenwald	Registration No. (Attorney/Agent)	24,247																																																																																																																												
Signature		Date	10/24/01																																																																																																																												

WARNING:

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number	09/901,372
Filing Date	7/9/2001
First Named Inventor	Stuart Hameroff
Group Art Unit	1645
Examiner Name	

Attorney Docket Number SH-1

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> See 1 in Addendum
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	In partial response to NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, dated 8/27/2001, applicant herewith encloses 1) the DECLARATION, newly dated and signed by two inventors, Sarah Black and Michael Weiner, 2) 2 sheets of substitute drawings in compliance with 37 CFR 1.84 and with the identifying indica on the reserve of each drawing, and 3) a check for \$65.00 for the surcharge for late filing of the DECLARATION. This is a partial response to the NOTICE. A newly executed DECLARATION by inventor, Stuart Hameroff, will be submitted at a later date. If additional fees are required, please deduct from Deposit Account 50-1706.
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Howard J. Greenwald; Greenwald & Basch LLP
Signature	
Date	10/24/01

CERTIFICATE OF MAILING

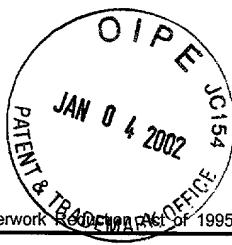
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **10/25/01**

Typed or printed name	Howard J. Greenwald
Signature	
Date	10/24/01

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Attachment to (PTO/SB/21) Transmittal Form (continued)

1. Newly dated and signed DECLARATION
Transmittal Form
Certificate of Mailing
Copy of NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
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PTO/SB92 (08-00)

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Washington, D.C. 20231

on 10/25/2001

Date

A handwritten signature of Howard J. Greenwald.

Signature

Howard J. Greenwald

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

The following papers listed below are submitted:

Newly executed DECLARATION

Transmittal Form

Certificate of Mailing

Copy of NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

2 sheets of substitute drawings

Return Postcard

Fee Transmittal

Check

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